1 'Tailception': using neural networks for assessing tail lesions on pictures

2 of pig carcasses

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- 17 research and article preparation
- 18
- 19 **Short title**: Assessing tail lesions from pig carcase pictures
- 20

21 Abstract

22 Tail lesions caused by tail biting are a widespread welfare issue in pig husbandry.

23 Determining their prevalence currently involves labour intensive, subjective scoring

24 methods. Increased societal interest in tail lesions requires fast, reliable and cheap

25 systems for assessing tail status. In the present study, we aimed to test the reliability

- 26 of neural networks for assessing tail pictures from carcasses against trained human
- 27 observers. Three trained observers scored tail lesions from automatically recorded

28 pictures of 13 124 pigs. Nearly all pigs had been tail docked. Tail lesions were 29 classified using a 4-point score (0 = no lesion, to 3 = severe lesion). In addition, total 30 tail loss was recorded. Agreement between observers was tested prior and during the 31 assessment in a total of seven inter-observer tests with 80 pictures each. We 32 calculated agreement between observer pairs as exact agreement (%) and 33 prevalence-adjusted bias-adjusted kappa (PABAK; value 1 = optimal agreement). Out 34 of the 13 124 scored pictures, we used 80% for training and 20% for validating our 35 neural networks. As the position of the tail in the pictures varied (high, low, left, right), 36 we first trained a part detection network to find the tail in the picture and select a 37 rectangular part of the picture which includes the tail. We then trained a classification 38 network to categorise tail lesion severity using pictures scored by human observers 39 whereby the classification network only analysed the selected picture parts. Median 40 exact agreement between the three observers was 80% for tail lesions and 94% for 41 tail loss. Median PABAK for tail lesions and loss were 0.75 and 0.87, respectively. The 42 agreement between classification by the neural network and human observers was 43 74% for tail lesions and 95% for tail loss. In other words, the agreement between the 44 networks and human observers were very similar to the agreement between human 45 observers. The main reason for disagreement between observers and thereby higher 46 variation in network training material were picture quality issues. Therefore, we expect 47 even better results for neural network application to tail lesions if training is based on 48 high quality pictures. Very reliable and repeatable tail lesion assessment from pictures 49 would allow automated tail classification of all pigs slaughtered, which is something 50 that some animal welfare labels would like to do.

51

52 **Keywords**: slaughter pigs; tail lesions; abattoir; human assessment; neural network

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54 Implications

Lesions caused by tail biting are a big welfare problem in pig production. Pigs reaching slaughter without tail lesions could be rewarded with premium payments, but this requires reliable lesion assessment in large numbers of pigs. We showed, that neural networks could help in automating this assessment.

59

60 Introduction

61 Tail biting is a widespread welfare problem in pig husbandry during which pigs 62 manipulate the tails of their group mates with their mouth. This results in tail lesions of 63 different degrees of severity, from superficial skin lesions over deep lesions to 64 completely bitten-off tails (Taylor *et al.*, 2010). Tail biting is influenced by multiple risk 65 factors which makes it difficult to prevent (EFSA, 2007). Cutting off the tails shortly after birth (tail docking) greatly reduces the risk of tail biting occurring later in life 66 67 (EFSA, 2007). In the EU, tail docking is only allowed in exceptional cases (Council 68 Directive 2008/120/EC; Council of the European Union, 2008) but nevertheless 69 frequently applied. This discrepancy has led to a formal complaint to the European 70 Commission (Marzocchi, 2014), which in turn caused increased public awareness and 71 political pressure. As a result, animal welfare labels started to include tail status as a 72 criterion ("Für mehr Tierschutz", Germany; "Beter leven", The Netherlands; "Bedre 73 dyrevelfærd", Denmark) and programmes were launched which pay a premium for 74 non-docked pigs (e.g. "Ringelschwanzprämie" by the German state Lower Saxony: 75 16.50 € per slaughter pig with not docked, not injured tail; ML Niedersachsen, 2015). 76 The status of a pig tail is therefore now economically relevant. At the same time, large 77 numbers of pig tails have to be evaluated. Thus, there is a need for fast, reliable, valid

78 and cheap systems to assess tail status. Currently, tail status for the German 79 "Ringelschwanzprämie", for example, is assessed by more or less trained observers who travel to farms and walk through pens where they score pig tails (oral information; 80 81 S. Dippel). Assessing pigs on multiple farms in short periods of time requires considerable resources in terms of time and money for travelling and assessment, with 82 83 added biosecurity risk through entering pens. Furthermore, tail lesion scoring by 84 multiple observers has a strong subjective component (Mullan et al., 2011). Tails can 85 also be scored with minimal logistical input at slaughterhouses, where pig carcasses 86 are already inspected for signs of disease or severe injury. Studies have investigated 87 possible integration of tail lesion scoring in this inspection but found significant 88 influences of e.g. inspector work shift (Teixeira et al., 2016).

89 Neural networks could be a low-cost, objective and indefatigable alternative to human 90 observers. Their development distinctly improved automated object recognition in 91 images (Russakovsky et al., 2015) and they have already been used for e.g. 92 classification of hams (Muñoz et al., 2015). Some attempts have been made at 93 developing automated assessment of lesions on slaughter carcasses using various 94 forms of algorithms. To our knowledge, the only published systems are a system for 95 assessing footpad dermatitis in broilers (Vanderhasselt et al., 2013) and a system for 96 recording presence or absence of tail and ear lesions in pigs (Blömke and Kemper, 97 2017). However, many research and industry institutions are still struggling with the 98 reliability of their systems, which are mostly based on linear algorithms. The aim of the 99 present study was to test the reliability of neural networks for assessing tail lesions 100 from pictures of pig carcasses.

101 Methods

102 Tail pictures

103 Tail pictures were taken of all pigs slaughtered on six days between March 27 and May 104 12, 2017 in one abattoir in North-Western Germany. Two synchronized RGB (red-105 green-blue) cameras automatically photographed tails from two dorsal angles after 106 scalding and dehairing (cameras: UI-5480RE-C-HQ rev.2, lenses: IDS 25 HB Tamron 107 Focal Length 12 mm, casing: Videotec Type NXM; all by IDS Imaging Development 108 Systems, Obersulm, Germany). The two angles were stitched together in a single 109 picture per pig. Lighting was provided by standard fluorescent lamps (tubes) with 110 luminous colour 840 (cold white). Four double tubes were installed at the height of the 111 carcase transport rails and provided light from above at distances of approximately 1 112 and 2 m from the carcase. One additional double tube was installed at the height of 113 the back of the carcase with a distance of 2.8 m in order to reduce shadows from the 114 top lights.

115 A total of 100,000 pictures were taken during the six days, out of which approximately 116 90% showed tails without lesions. As the aim was to determine agreement across all 117 lesion severities, which may be influenced by unequal severity prevalences (Kottner et 118 al., 2011), we deleted a random sample of pictures without lesions in order to equalize 119 the distribution of severity classes. For this, the most experienced observer screened 120 pictures by recording hour (pictures from the same hour had been saved in one folder) 121 in order to estimate the respective severity prevalences. She then first deleted all blurry 122 pictures and then deleted every second picture without lesions until roughly similar 123 proportions of pictures with different lesion severities were left in each folder. All 124 pictures left were used for human observer training or training and testing the neural 125 networks, respectively.

The first author made a software tool for picture scoring utilizing the OpenCV-library (OpenCV team, 2018) which allowed observers to look at an image and directly enter the scores. In addition, observers marked the position of the anal drill hole with a mouse click in both angles. Pictures were brightened using IrfanView[©] (version 4.44) and assessed on screens calibrated with dccw.exe (Windows®).

131 Human assessment of tail pictures

132 After training inter-human agreement, a total of 13 124 pictures scored by three

133 human observers was used for training and testing the neural networks.

134 Scoring key

We scored tail lesions on a scale from 0 to 3 and tail losses as presence (1) or absence (0) of total tail loss (Figure 1). Discolouration at the tail base was not taken into account because in direct observations it seemed to be associated with brushing during scalding rather than with biting. Different degrees of partial tail loss could not be assessed because of tail docking.

140 Observers and training

141 Pictures were scored by three observers in order to distribute the workload. Observers 142 were chosen based on availability and previous experience with scoring tail lesions. 143 One observer had experience in scoring tails on pictures from carcasses, one observer 144 had experience in scoring tails on live pigs and one observer was naïve regarding 145 scoring of pig tails. Observers trained by discussing and scoring tail pictures and tested 146 their agreement at regular intervals using 80 unknown pictures for each test. The 147 pictures were preselected by the last author (who led observer training and tests) to 148 make sure, each test batch contained several pictures for each of the scores. We 149 calculated agreement between observer pairs as exact agreement (%) and 150 prevalence-adjusted bias-adjusted kappa (**PABAK** = $[(k^*p)-1]/(k-1)$ where k = number 151 of categories and p = proportion of matchings). PABAK values > 0.6 to 0.8 were 152 regarded as satisfactory to good agreement and values > 0.8 as very good agreement 153 (Fleiss et al., 2003). Before picture assessment started, five inter-observer tests were 154 required until satisfactory agreement was achieved. Two inter-observer tests were 155 performed during the assessment to monitor potential drifts.

156 Neural network assessment of tail pictures

There are different approaches regarding the respective proportions of training and validation pictures. Many image datasets supplied for developing visual recognition systems use 95% training and 5% validation pictures (Russakovsky *et al.*, 2015). However, if the pictures (or mathematical outcome parameter) are highly variable such as the appearance of tail lesions in pictures, somewhat larger validation data sets in the range of 20% are recommended (Dohoo *et al.*, 2012) and used (e.g. image

datasets CIFAR-10 and CIFAR-100¹ or MNIST²). This is why out of the 13 124 scored
pictures we used 10 499 (80%) for training and 2 625 (20%) for subsequent validation
of the networks (Table 1).

166 Localization of the tail region

167 In order to train a classification network properly, it is important to use only relevant 168 picture sections as input. As the position of the tail varied from picture to picture, we 169 first trained a part detection network to locate the relevant region in each picture before 170 it was handed to the classification network. The part detection network (Figure 2) was 171 based on the idea from Bulat and Tzimiropoulos (2016) and realized using a fully 172 convolutional residual layer (ResNet)-50 backbone (He et al., 2016). To preserve the 173 local information of the input data, we extracted, scaled up and added the feature maps 174 after the 7th (8-fold downsampling), 13th (16-fold downsampling) and 16th (32-fold 175 downsampling) building block of the ResNet before applying the pixelwise sigmoid-176 loss. We initialized the network with pretrained Imagenet weights (Russakovsky et al., 177 2015) and fine-tuned it for 30 epochs with the Adam-optimizer (Kingma and Ba, 2015) 178 at a learning-rate set to 0.0001. In order to subjectively verify that the network used the 179 tail-region to identify the injury patterns we used the Image-Specific Class Saliency 180 Visualisation from Simonyan et al. (2014).

181 Classification of tail lesion and tail loss

The part detection network predicted the location of the anal drill hole, which was then used to position the region-of-interest window. The original pictures were scaled down, so that the selection window for each angle covered 320 x 256 px. The two windows for the two angles joined together resulted in the input of 320 x 512 px for the classifier

¹ https://www.cs.toronto.edu/~kriz/cifar.html

² yann.lecun.com/exdb/mnist/

186 network. For tail lesion classification, we used a modification of the standard Inception-187 ResNet-v2 classifier network by Szegedy et al. (2017) for predicting the four tail lesion 188 scores in our dataset. To compensate for the large imbalance between scores, we 189 used sub-/oversampling until 4 000 training pictures were available for each score. 190 This meant that pictures from lesion score 2 and 3 were duplicated many times (Table 191 1). During training, the pictures were augmented online by rotating the two picture-192 halves randomly (± 10 degrees) before cutting the region of interest and by applying 193 picture manipulations like adaptive noise, brightness-changes and blurring to the final 194 input-pictures. Again, we initialized the network with pretrained Imagenet weights and 195 fine-tuned it for 30 epochs with the Adam-optimizer (learning-rate set to 0.00001). We 196 used a categorical-crossentropy loss on the final four-classes-softmax activation. Due 197 to the pre-trained weights, the network started to overfit quickly so we applied early-198 stopping. The tail loss classification was done on the same pre-processed input 199 pictures and the same classification network architecture, but with binary-crossentropy 200 loss on a single sigmoid activated decision-neuron.

201 Results

202 Agreement between human observers

For lesions, exact agreement between observer pairs ranged from 65 to 88% with 50% of agreement values between 71 to 84% (first (Q25) to third (Q75) quartile; median = 80%; Figure 3). PABAK for lesions ranged from 0.56 to 0.84 with 50% of values between 0.64 and 0.80 (median = 0.75). For tail loss, exact agreement ranged from 85 to 98% (Q25 to Q75: 90 to 95%, median = 94%) and PABAK ranged from 0.70 to 0.95 (Q25 to Q75: 0.80 to 0.90, median = 0.87).

209 Agreement between neural network and human assessment

The trained tail lesion classification network yielded an agreement of 74% with the human observer scores, while agreement for tail losses was 95%. For tail lesions, normalized values on the confusion matrix diagonal ranged from 0.59 to 0.85 with uncertainty occurring on both sides of the diagonal (Figure 4).

The classification network mostly used information from the correct region for classification (*Image-Specific Class Saliency Visualisation*; Figure 5). In pictures with many optical structures in non-tail regions, especially reddish-coloured structures, the network used more non-relevant pixels for its decision. Misclassifications were often associated with shadows or overlapping structures (Figure 6).

219 Discussion

In the present study, human observers evaluated pictures of pig carcasses regarding
tail lesions and tail losses. The scored pictures were used to train and test neural
networks. Agreement between network and observer scores were similar to agreement
between human observers.

224 Agreement between human observers was acceptable in most tests for lesions and 225 good in most tests for tail loss, but fluctuated over time for both parameters. This was 226 mostly dependent on the prevalence of blurry pictures or lesions or losses on the 227 border between two categories in the test pictures. Even though lighting had been 228 optimised as much as possible, all pictures were more or less blurred due to high speed 229 of the carcasses on the line. In addition, most carcasses had discolourations and marks 230 from the scalding and dehairing process. The latter were also present on some tails 231 and thus interfered with assessment of low severity lesions. Overall, the greatest 232 difficulty was, where to distinguish between two lesion severity categories, i.e. "is this 233 still score 0 or already score 1". The issue remained despite training, due to the great

234 variation regarding colour and size along continuous gradients. This problem of 235 categorising continuous characteristics has been described before. In a study where 236 three observers scored 80 pictures and videos of sheep feet regarding lesions on a 5-237 point scale (Foddai et al., 2012), the width of the categories varied significantly 238 between observers, and categories also overlapped within observers. Similar results 239 were found for scoring lameness in sheep on an ordinal versus visual analogue 240 (continuous) scale (Vieira et al., 2015). Therefore, assessment of lesions on a 241 continuous scale might be recommendable for reducing variation in training data by 242 improving agreement between observers who annotate training pictures.

243 In tasks of supervised learning like the one presented here, neural networks can only 244 be as good as the data they are trained with. This is why the disagreement between 245 human observers in our study is reflected in the uncertainty in the confusion matrix of 246 the tail lesion network. Using averaged annotations from several trained observers 247 (Muñoz et al., 2015) could additionally improve training material quality. However, 248 neural networks also require large datasets in order to be trained on complex 249 parameters, such as tail lesions. Several observers re-scoring the same pictures 250 considerably increases labour input. Therefore, calculations on trade-off between large 251 numbers of training pictures annotated with greater variability by single observers 252 versus fewer pictures with average annotations with less variability should be made. 253 Nevertheless, improving human agreement is the necessary first step towards better 254 network assessment results. Based on our study, high quality pictures are a 255 prerequisite for good agreement. In addition, using continuous scales rather than 256 categorical scores might help to raise agreement for lesions above 90%.

257 Overall, the neural network assessment results in our study are very promising 258 because the agreement between network and human observers was similar to the

259 agreement between human observers. So far, only few studies investigated automatic 260 computerised injury assessment on carcasses. Vanderhasselt et al. (2013) tested a 261 system for assessing footpad dermatitis in broiler chickens. The maximum correlation 262 between scores assigned by humans and the automated system was 0.77. However, 263 even though there is less spatial variation regarding the position of broiler footpads 264 compared to pig tails on a line, the system found the relevant areas only in 86 of 197 265 recorded chickens (44%). Blömke and Kemper (2017) achieved much better results 266 with a system for automated assessment of presence or absence of ear and tail injuries 267 in pigs. Their system found the relevant areas in an average of 95% of pictures. 268 Sensitivity and specificity for detecting lesions were > 70% and > 94%, respectively, 269 for tail as well as for ear lesions (2 634 to 2 684 pigs). Only presence or absence of 270 lesions were assessed. Neither the threshold for lesion detection nor the algorithms for 271 picture analysis were reported yet.

272 Conclusions

273 Neural networks can assess tail lesions in pictures from slaughter pigs with a 274 reliability comparable to human observers. If supervised learning is used, high quality 275 training material (i.e. pictures) is necessary for achieving good network results. In 276 order to be able to generalise such complex parameters like tail lesions, neural 277 networks require large numbers of training pictures with equal representation of 278 different severities. Using continuous lesion severity scales instead of predefined 279 categorical scores might help to make the system more repeatable and versatile. In sum, neural network analysis of tail pictures poses a promising technique which 280 281 might allow all pigs in a welfare label to be scored for tail lesions with little labour 282 input.

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288 Conceptual design of the work, acquisition of pictures: SD. Supervision of and 289 contribution to picture assessment by human observers: CV. Development and training 290 of neural networks and technical support for picture annotation: JB, supervised by RK.

291 Data analysis and interpretation: JB, SD, CV. Drafting the publication: CV, JB. Revision

of publication: SD, RK. All authors have approved the final version.

293 **Declaration of interest**

- 294 This research did not receive any specific grant from funding agencies in the public,
- commercial, or not-for-profit sectors. The authors have no competing interests to
- 296 declare.

297 Ethics committee

- 298 Pig-related data in this study were collected without causing harm to the animals for
- the purpose of the study. All experimental work was conducted in accordance with
- 300 relevant national legislation and approval by an ethics committee was not required.

Software and data repository resources

302 Data are available from the authors upon reasonable request.

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Tables

Table 1: Number of pig carcase pictures scored by human observers and used for
training and validating neural networks. Numbers are given for each score assigned
by human observers for tail lesion and tail loss, respectively (Figure 1). Tail loss was
only scored as present or absent. Out of the 13 124 scored pictures, 80% were used
for training and 20% for subsequent validation of the networks. n.a. = not applicable.

Score	Tail lesions		Tail losses	
	Training	Validation	Training	Validation
0	6052	1460	9469	2359
1	3905	1041	1030	266
2	457	108	n.a.	n.a.
3	85	16	n.a.	n.a

374 List of figure captions

Figure 1: Scoring key used for assessing tail lesions and total tail loss on pictures from pig carcasses. Tail lesions and losses were scored independently of each other. "Lesion" was defined as broken skin. The tail loss 1 picture shows the longest remaining "stump" which was still considered as tail loss (longer stumps would be classified as tail loss 0). Centimetres given are subjective estimates from a picture.

Score	Tail lesion		Tail loss	
0	No visible lesion or reddish / violet / brownish discoloration the size of a pinhead. Skin looks intact	A	No loss or partial loss with more than a "stump" left (> 3 cm)	A
1	Lesion < tail diameter at respective location, with or without loss of tail substance	1 Ale	Total loss: only a "stump" protruding from tail base (≤ 3 cm)	
2	Lesion ≥ tail diameter at respective location, with or without loss of tail substance		n.a.	
3	Tail tip with irregular outline (abrasion and / or elevations) in combination with dark reddish / brownish / blackish discoloration (necrosis)		n.a.	

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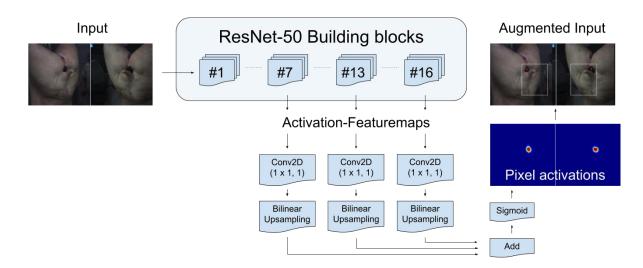
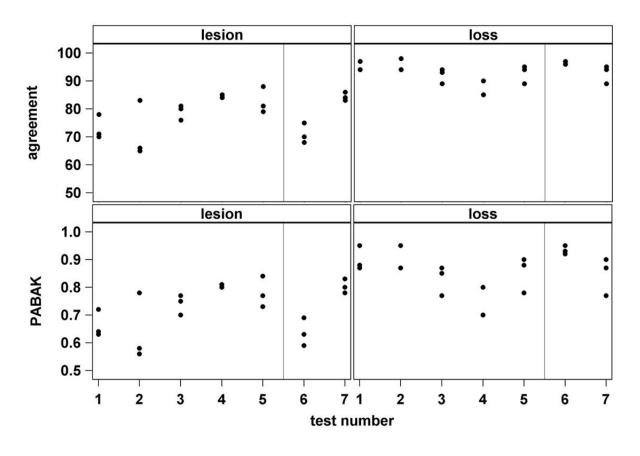
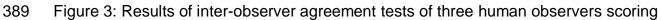




Figure 2: Architecture of a part detection network used for locating tails in pictures of
pig carcases. The network learns to activate pixels in the specified areas which can
then be used for positioning the region-of-interest windows for cutting out the relevant
picture section (tail) for subsequent classification.

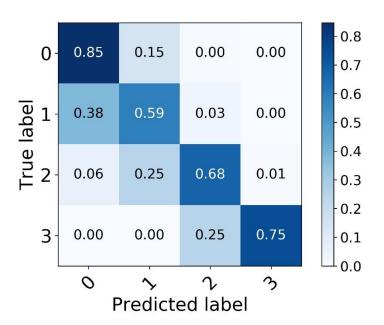






tail lesions or tail loss, respectively, from pig carcase pictures. Each dot represents
the exact agreement (%) or prevalence-adjusted bias-adjusted kappa (PABAK; range
0 to 1), respectively, for one observer-pair during one test (consecutive test number
on X-axis; n = 80 pictures per test). Grey vertical line = start of data collection.

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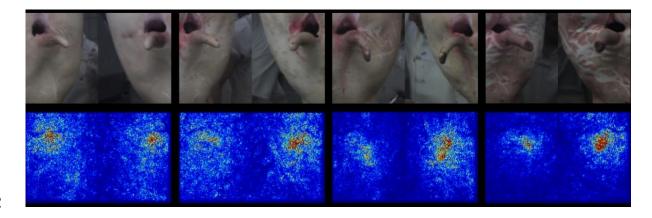
396 Figure 4: Normalized confusion matrix for the predictions of the tail lesion

397 classification network based on 13 124 pig tail pictures annotated by human

398 observers. True label = tail lesion severity score assigned by humans, Predicted label

399 = score predicted by neural network. The colouring indicates the normalised

400 distribution of numbers of pictures per cell.



402

Figure 5: Example pictures of slaughter pig tails from the verification of the tail lesion
severity classification network (top row). From left to right, pictures represent tail

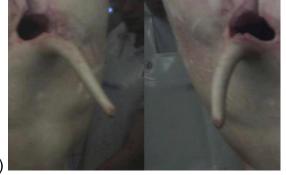
lesion scores 0, 1, 2 and 3, respectively (Figure 1). The bottom row shows the

406 respective gradient-map made by the network, in which warmer colours indicate a

407 larger influence of the respective pixel on the final classification result.

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410

411 Figure 6: Three examples for misclassification of pig tail lesion severity scores by the

412 network. Pictures (a) and (b) were assigned lesion score 1 by a human and lesion

- 413 score 0 by the network, picture (c) was assigned lesion score 3 by a human and
- 414 score 2 by the network.